



COPY OF PAPERS  
ORIGINALLY FILED

RECEIPT

Patent

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: U.Hansmann et al Group Art Unit 2161  
Serial No.: 09/897,869 : Confirmation No. 1390  
Filed: July 2, 2001 January 28, 2002  
Title: Personal Digital Shopping : William A. Kinnaman, Jr.  
Trolley : IBM Corporation - P386  
: 2455 South Road  
: Poughkeepsie, NY 12601  
:

RECEIVED

MAR 25 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Technology Center 2100

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

X incorrectly entered and/or  
\_\_\_ omitted.

**Error in**

1. \_\_\_ Applicant's name
2. \_\_\_ Applicant's address
3. \_\_\_ Title
4. \_\_\_ Filing Date
5. \_\_\_ Serial Number
6. X Foreign/PCT Application Re: GERMANY 00114340.3 04/07/2000
7. \_\_\_ Other Miscellaneous and or (Attorney's Name/Address)

**Correct data:**

GERMANY 00114340.3 07/04/2000

3. A. X The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B. \_\_\_ At least one of the above corrections is due to applicant's error. Please charge the fee therefor, under 37 CFR 1.19(h), of \$25.00 to deposit account 09-0463. A duplicate copy is enclosed.

Respectfully requested,

By:

William A. Kinnaman, Jr.  
William A. Kinnaman, Jr. - Attorney  
Registration No.: 27,650  
Telephone: (845) 433-1175  
FAX: (845) 432-9601

Docket DE920000028US1



COPY OF PAPER  
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/897,869	07/02/2001	2161	854	DE20000028US1	4	28	3

CONFIRMATION NO. 1390

FILING RECEIPT



\*OC000000006453662\*

William A. Kinnaman, Jr.  
IBM Corporation  
Intellectual Property Law Department  
2455 South Road M/S P386  
Poughkeepsie, NY 12601

**AUG 28 2001**

Date Mailed: 08/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Uwe Hansmann, Altdorf, GERMANY;  
Lothar Merk, Schoenbuch, GERMANY;  
Thomas Stober, Boeblingen, GERMANY;

**RECEIVED**

**MAR 25 2002**

Technology Center 2100

**Assignment For Published Patent Application**

INTERNATIONAL BUSINESS MACHINES CORPORATION, Armonk, NY;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

GERMANY 00114340.3 04/07/2000

If Required, Foreign Filing License Granted 08/18/2001

Projected Publication Date: 11/29/2001

Non-Publication Request: No

Early Publication Request: No

**Title**

### Personal digital shopping trolley

## Preliminary Class

705

**Data entry by : BERHIE, RUTH**

**Team : OIPE**

**Date: 08/21/2001**





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Cod , Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**COPY OF PAPERS  
ORIGINALLY FILED**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**RECEIVED**

**MAR 25 2002**

**Technology Center 2100**



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

 1/2  
 IV  
 3-11-02


Bib Data Sheet

CONFIRMATION NO. 1390

<b>SERIAL NUMBER</b> 09/897,869	<b>FILING DATE</b> 07/02/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2165	<b>ATTORNEY DOCKET NO.</b> DE20000028US1	
<b>APPLICANTS</b> Uwe Hansmann, Altdorf, GERMANY; Lothar Merk, Schoenbuch, GERMANY; Thomas Stober, Boeblingen, GERMANY;					
<b>** CONTINUING DATA *****</b>					
<b>** FOREIGN APPLICATIONS *****</b> GERMANY 00114340.3 07/04/2000					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 08/18/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> GERMANY	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 28	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> William A. Kinnaman, Jr. IBM Corporation Intellectual Property Law Department 2455 South Road M/S P386 Poughkeepsie ,NY 12601					
<b>TITLE</b> Personal digital shopping trolley					
<b>FILING FEE RECEIVED</b> 854	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		